

AO 120 (Rev. 3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
 filed in the U.S. District Court Eastern District of Texas on the following ☒ Patents or ☐ Trademarks:

DOCKET NO. 6:09cv367	DATE FILED 8/14/2009	U.S. DISTRICT COURT Eastern District of Texas
PLAINTIFF EMG TECHNOLOGY, LLC		DEFENDANT Microsoft Corporation, Scottrade, Inc., and Southwest Airlines Co.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 US 7,441,196 B2	10/21/2008	EMG Technology, LLC
2		
3 US 7,020,845 B1	3/28/2006	EMG Technology, LLC
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT						
<table border="1" style="width: 100%;"> <tr> <td style="width: 40%;">CLERK</td> <td style="width: 40%;">(BY) DEPUTY CLERK</td> <td style="width: 20%;">DATE</td> </tr> <tr> <td style="height: 40px;"></td> <td></td> <td></td> </tr> </table>	CLERK	(BY) DEPUTY CLERK	DATE			
CLERK	(BY) DEPUTY CLERK	DATE				

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy